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Focused on Value

Caldwell Securities, Inc. Announces Addition of Sutter Securities Fixed Income Team; Officially Changes Name to Caldwell Sutter Capital, Inc.

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SAUSALITO, Calif., July 21, 2017 (GLOBE NEWSWIRE) -- Caldwell Sutter Capital, Inc. (formerly Caldwell Securities, Inc.) today announced the eight-member fixed income team from Sutter Securities is joining Caldwell Securities to form Caldwell Sutter Capital, Inc. ("CSC"). The combination brings together a unique mix of dedicated investment professionals that will continue to serve their individual and institutional clients with exceptional service and expertise.

The combined firm will continue to operate as both a registered investment advisory firm and as a broker dealer, serving clients nationwide. The Sutter team brings with them a wealth of expertise, focusing on municipal bond investing for both institutional and individual investors. In addition, the Sutter team will continue to provide exceptional service as a municipal advisory firm and as public finance investment bankers. CSC will offer fixed income products focused on State Treasury Offices, municipalities, pension funds, money managers and insurance companies through its veteran sales and trading professionals.

"Caldwell Sutter Capital is focused on prudent long-term value investing using fundamental analysis to serve clients," said President, Joe Helmer, CFA. "We're fortunate to be partnering with a team that has such an excellent reputation and investing acumen."

"The formation of Caldwell Sutter Capital, Inc. brings together a group of consummate investment professionals who possess in-depth expertise in both equity and fixed income markets," commented Joe DuCote, Executive Committee Chair. "Both firms share a disciplined and a long-term investment approach tailored specifically for each client."

Joe Helmer, CFA will be primarily responsible for equity analysis and equity portfolio management. Sue Metcalf, CFA, will be head of municipal research. Joe DuCote will lead the firm's fixed income desk and serve as Chair of the Executive Committee. Mari Fu will serve as head municipal bond trader. Frank Soriano will continue as a municipal advisor and investment banker to municipalities. In addition to serving on the FINRA Board of Governors, Bob Muh will be joining the firm as Chairman of the Board of Caldwell Sutter Capital, Inc.

About Caldwell Sutter Capital, Inc.

Caldwell Sutter Capital ("CSC") is a dually registered investment advisory and broker dealer headquartered in Sausalito, CA. It was founded by John Helmer and Jinx Helmer in 1982, who now serve on the board of CSC. CSC is a full-service investment firm that offers a comprehensive suite of investment services, including managed advisory accounts, fixed income portfolios, fixed income trading, and public finance. Caldwell Sutter Capital is committed to serving the investment needs of our clients.

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